The association between simvastatin augmentation and antiinflammatory properties in SSD patients

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# ABSTRACT

A subset of Schizophrenia Spectrum Disorders (SSD) patients show increased levels of inflammation which may contribute to the development of symptoms. Drug administration that lower this proinflammatory status may be beneficial. Simvastatin can cross the blood-brain barrier and has antiinflammatory properties. In this study, we investigated the effect of 12 months of simvastatin administration versus placebo on the inflammatory markers C-reactive protein (CRP) and interleukin-6 (IL-6). Furthermore, high inflammation cluster analysis was performed and used to evaluate symptom severity as measured with the Positive and Negative Syndrome Scale (PANSS). Recent-onset SSD patients were randomized 1:1 to simvastatin 40 mg (N=61) or placebo (N=58). Primary outcomes were IL-6 and CRP serum levels. Analyses were performed using linear mixed models. Compared to placebo, simvastatin augmentation led to a reduction of CRP (X(1) = 5,33, P=0.021). This treatment effect did not interact with time. IL-6 did not show a significant treatment effect or an interaction between treatment and time (P>0.05). Cluster analysis on baseline inflammatory biomarkers (IL-6, IL-8, IL-1RA and CRP) failed to create clusters with enough discriminative power and were therefore not further analysed. Elevated levels of CRP at baseline (>3mg, N=25) normalized after simvastatin treatment (X(7)=28,284, P= 0.0002). However, simvastatin had no effect on symptom severity in the high CRP subgroup (P>0.05). These findings suggest that simvastatin normalizes peripheral CRP levels, but fails to improve symptom severity in an elevated CRP subgroup.

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# INTRODUCTION

Schizophrenia Spectrum Disorders (SSD) are characterized by positive (e.g. hallucinations and delusions) and negative (e.g. social or emotional withdrawal, blunted affect and poverty of speech) symptoms<sup>1</sup>. Although conventional treatment by antipsychotics has improved schizophrenia prognosis since the 1950s, the treatment and the response rate still have numerous weaknesses. First, a longer duration of untreated psychosis is associated with an impaired response to treatment<sup>2</sup>. Second, antipsychotics show little effect on negative symptoms<sup>3,4</sup>. These symptoms, roughly experienced by 15-20% of schizophrenia patients, are considered to be a major burden<sup>5</sup>. Thirdly, antipsychotics can lead to severe neurobiological and metabolic side effects and may eventually lead to sexual dysfunction or agranulocytosis<sup>6</sup>. Finally, compared to healthy peers, mortality is significant higher in schizophrenia patients<sup>7</sup>. Although suicide partially accounts for this increased mortality, a large contribution can be found in cardiovascular deaths due to a high prevalence of metabolic syndrome<sup>7</sup>.

Mounting evidence suggests that chronical low-grade inflammation is a potential contributor in the pathogenesis of schizophrenia, at least in a subgroup of patients<sup>8-10</sup>. Several studies reported elevated levels of various inflammatory biomarkers in blood, including C-reactive protein (CRP)<sup>11</sup>, IL-6<sup>12</sup>, IL-1β<sup>12</sup>, TNF- $\alpha^{12}$ , IL-8<sup>13</sup>, IL-10<sup>14</sup> and II-1RA<sup>15</sup> in patients with schizophrenia. Interestingly, elevated levels of CRP and IL-6 are associated with more severe negative symptoms<sup>16</sup>. Additionally, two individual studies suggested an association between increased CRP levels and elevated risk of SSD<sup>17,18</sup>. Furthermore, a meta-analysis about the efficacy of anti-inflammatory agents in these patients yielded promising results, arising the question whether beneficial effects on symptom severity could be mediated by anti-inflammatory mechanisms<sup>19</sup>.

A possible approach can be found in the administration of statins, cholesterol lowering agents that have pleiotropic effects<sup>20</sup>. Next to lipid lowering, statins have a neuroprotective effect by affecting cellular signalling, hereby resulting in reduced oxidative damage, vascular function improvement and immune response reduction<sup>21</sup>. Evidence exists that statins induce clinically relevant anti-inflammatory effects independent of the lipid lowering effects<sup>22</sup>. A recent meta-analysis found several statins to be efficient in reducing CRP levels in patients with different types of cardiovascular diseases<sup>23</sup>. When considering both the cardioprotective and neuroprotective properties, statins are thought to potentially have a benefit for at least a subset of schizophrenia patients. Specifically simvastatin, due to its capacity to cross the blood-brain-barrier, cholesterol lowering effect and excellent safety profile<sup>24</sup>.

At present, only a few trials of simvastatin administration in SSD patients exist. All of the studies provided 40 mg simvastatin a day for a period varying between 6 weeks and 12 months<sup>25–28</sup>. However, all of the studies failed to show significance on clinical endpoints. This was supported by a review on statins as adjuvant therapy, which reported a lack of solid evidence<sup>29</sup>. A recent RCT tested the effect of simvastatin addition on symptom severity and cognition in recent-onset SSD patients<sup>28</sup>. No significant changes were found on cognition, yet symptom severity was only significant after 6 months of the 12 month treatment. This arises the question to what extent simvastatin reduces inflammation and if there is an association between anti-inflammation and improvement of symptoms.

The aim of this study was to explore the effect of simvastatin administration on inflammatory markers in SSD patients, as additional analyses to a previous RCT<sup>28</sup>. We analysed CRP and IL-6 over time in recent-onset patients in both simvastatin and placebo groups. Furthermore, since previous studies show that a subset of SSD patients show chronic low-grade inflammation, we clustered high-inflammation groups and investigated the effects of simvastatin on symptom severity in these groups. We hypothesize that simvastatin administration reduces elevated levels of CRP and IL-6 and may have a beneficial effect on symptom severity in a high inflammation cluster.

# METHODS

### Participants

This current study utilizes data from a prospective cohort study with participants from an earlier double-blind randomized placebo-controlled multi-centre trial. The primary results from this study were recently published<sup>28</sup>. Patients were 18-50 years of age, with a DSM-IV diagnosis of schizophrenia, schizoaffective, schizophreniform disorder or psychotic disorder not otherwise specified. First psychosis onset was no longer than 3 years ago. A total of 127 patients were included and 119 were randomized. Simvastatin or placebo administration was between baseline and 12 months and the follow-up period was 24 months with visits at baseline and after 1,3,6,9,12 and 24 months. Blood samples were collected at baseline as well as after 1,6,12 and 24 months. Complete study procedures and instruments are described per visit by Begemann et al<sup>30</sup>.

### Immune biomarkers

Blood was drawn in the morning of the baseline visit. We analysed the levels of a panel of inflammatory markers that have been associated with schizophrenia in recent meta-analyses<sup>13,31–33</sup>. High-sensitivity C-reactive protein (CRP) was measured in these samples through the central diagnostic laboratory of the UMC Utrecht and Groningen using the Siemens Atellica<sup>TM</sup> Solution turbidimetric immnoassay. The detection limit for CRP was defined as the lowest detected value within the standard curve. Samples below the detection limit were set to the half of this detection limit. The samples below the detection limit 0.5 (4,0%) from the UMC Utrecht and 8 CRP with detection limit 0.3 (1,7%) from the UMC Groningen.

In addition, serum was prepared and stored at -80°C in aliquots within four hours after blood draw by the Central Biobank of the UMC Utrecht. At the end of the study, Interleukin(IL)-6, IL-8, IL-10, IL-1 Receptor Agonist (IL-1RA) were assessed using the Meso Scale Discovery U-PLEX Assay Platform (MSD Cat #K15067M, customized) and S-PLEX Human IL-6 Kit (MSD Cat# K151B3S), according to the manufacturer's protocol. All samples were detected within the standard range of the assay.

### Outcomes

To examine possible differences in anti-inflammatory responses over time between simvastatin and placebo, we evaluated IL-6 and CRP levels. IL-6 levels were determined from serum at baseline, after 1 month and after 12 months. CRP was measured at baseline, after 1 month, 6 months and 12 months.

For the identification of a high-inflammation subgroup, we had two different approaches. First, we performed a cluster analysis to identify a high-inflammation cluster. Due to the fact that IL-10 is mainly anti-inflammatory, it was excluded for analysis. The clustering analysis included IL-6, IL-8, IL-1RA and CRP. Any missing values were replaced by the mean of the total. Only individuals with data of at least 3 out of 4 inflammatory markers were included. Biomarker values > 2 standard deviations from the group mean were considered outliers. If two or more values were identified as outliers, individuals were excluded from cluster analysis (N=2). A total of 94 Individuals were included in the cluster-analysis.

Second, a high CRP group was based on elevated levels of CRP at baseline. The cut-off was set at 3mg/mL because above this level, the risk of developing cardiovascular diseases is increased<sup>34</sup>. These high-inflammation groups were related to CRP levels over time. Furthermore, we evaluated total PANSS score as a predictor of symptom severity.

### Statistical analysis

Statistical analyses were performed using R version 4.1.2 (www.R-project.org). We evaluated normality with Shapiro-Wilk normality test and evaluation of QQ-plots. Group differences in demographic variables were assessed using Chi-Square tests of independence for dichotomous variables and t-tests for continuous variables. All cytokine values were skewed and thus log transformed. Primary and secondary outcomes were tested using linear mixed effect models (LMMs) for repeated measurements with the *Ime4* package. Time point, treatment, sex, BMI and study site were set as fixed factors, age and baseline CRP and PANSS scores as covariates and subject as a random factor. A binary variable indicating the allocation to the simvastatin or placebo-group was added to this model to evaluate the treatment effect. Subsequently, to test whether the treatment effects changes over time, a time\*treatment interaction effect was added. When significant, group differences were compared at individual timepoints. Various assumptions (i.e. normality of residuals, normality of random effects, heteroscedasticity, homogeneity of variance and multicollinearity) of the linear mixed models were evaluated. To evaluate the relationship between LDL and CRP, a repeated measurement correlation (*rmcorr* package) was used.

For the cluster analysis we used the *kmeans* package to establish the optimal number of clusters. We used the *factoextra* package to evaluate overall model quality (silhouette width) and to perform cluster analysis. A Silhouette width <0.5 is considered weak<sup>35</sup> and therefore the required threshold was set at 0.5. The significance level for all statistical tests was P<.05, 2-tailed.

# RESULTS

### Demographics

Demographic characteristics are shown in table 1. The simvastatin group did not significantly differ in terms of age, gender, smoking, BMI and Years of education. The groups were also similar in PANSS total, negative and general scores. However, the simvastatin group had higher baseline positive PANSS scores. The LMM corrected for this difference, by including the baseline scores as covariates.

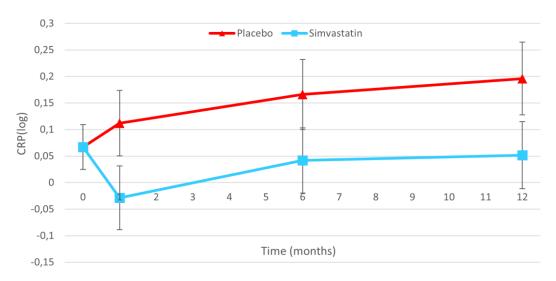
	Simvastatin (	N=61)	Placebo (N=	58)	P-Value
Gender (female) [N(%)]	14 (23,0)		13 (22,4)		1
Smoking [N(%)]	40 (66,7) <sup>1</sup>		38 (65,5)		1
	Mean±SD	Range	Mean±SD	Range	
BMI	24,3±4,2	16,6-40,6	25±3,8	19,5- 34,9	0.64
Age	26,4±5,8	18-46	28,0±7,9	18-50	0.12
Years of education	13,5±2,4	10-17	13,4±2,5	6-17	0.79
PANSS					
Total	58,9±13,0	36-83	56,8±15,0	33-97	0.36
Positive symptoms	14,1±5,2	7-30	12,4±4,1	7-24	0.041
Negative symptoms	14,8±4,9	7-28	14,9±5,4	7-31	0.93
General	30,0±13,0	18-46	29,6±7,6	17-48	0.70
psychopathology					

 Table 1 Demographic and clinical parameters at baseline in the simvastatin and the placebo group (N=119).

 1: missing 1

### Inflammatory markers

CRP showed a significant treatment effect ( $X^2(1)=5,33$ , estimated mean difference= -0,14, P=.02; figure 1). The time\*treatment effect was not statistically significant ( $X^2(1)=0,05$ , P=.97). For IL-6, the treatment effect ( $X^2(1)=0,31$ , P=.58) and the treatment\*time interaction effect ( $X^2(1)=0,51$ , P=0,47) were not significant.



#### Figure 1 Mean CRP levels for the simvastatin and CRP group.

This figure shows the change in CRP in the simvastatin and placebo group over 12 months. Means are estimated by a linear mixed effect model including sex, BMI, smoking, age, study site and time\*treatment as covariates and displayed with standard errors.

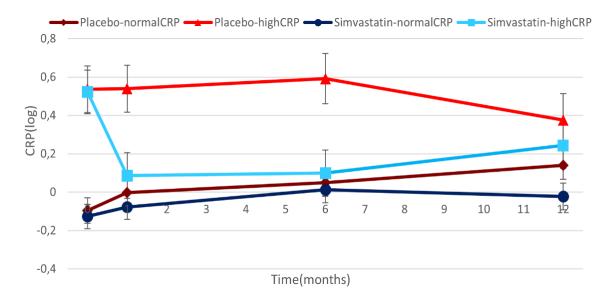
### High inflammation sub-groups

High inflammation k-means clustering led to an optimal clustering of 2 groups. The size of the groups and means of the inflammatory markers are summarized in table 4 (appendix). Silhouette width was 0.29 (figure 4, appendix). The silhouette width implies the quality of the clustering link and can vary between -1 and +1. The silhouette width did not reach the threshold of 0.5 and therefore, the clustering based on baseline cytokine levels of IL-6, IL-8, IL-1RA and CRP did not have enough discriminative power and was not further analysed.

For the high CRP clustering, the cut-off at 3mg/mL CRP resulted in the following groups: placebonormalCRP (pnCRP)(N=45), placebo-highCRP (phCRP)(N=11), simvastatin-normalCRP (snCRP)(N=46) and simvastatin-highCRP (shCRP)(N=14)(Table 2). In total, 25 of the 119 patients (21%) had elevated CRP baseline levels. LMM analysis showed a significant treatment\*CRP status effect (X<sup>2</sup>(7)=28,284, P=.0002)(figure 2). At baseline, phCRP and pnCRP differ significantly, as well as shCRP and snCRP. This significant difference remains between pnCRP and phCRP. In the simvastatin group, this effect between high vs normal diminishes after treatment. Table 3 shows a complete overview of all the estimated means and P-values. A repeated measurement correlation did not show a significant correlation between LDL and CRP in the high-inflammation simvastatin group (R<sup>2</sup>(23)=0,30, P=.07, 95% CI:-0.04 to 0.57(table 5, appendix).

Placebo	Normal	45
	High	11
Simvastatin	Normal	46
	High	11

**Table 2** Sample sizes of the different CRP clusters



#### Figure 2 Mean CRP levels for the different groups.

This figure shows the change in CRP in the high and low inflammation cluster of either the simvastatin or placebo group. Means are estimated by a linear mixed effect model including sex, BMI, smoking, age, study site and time\*treatment as covariates and displayed with standard errors.

	Estimates	SE	Р	Estimates	SE	Р
Baseline	0,63243	0,1334	0,0001	0,64919	0,1246	<.0001
1 month	0,54122	0,1345	0,0021	0,16407	0,1308	0,9816
6 months	0,54137	0,145	0,0057	0,08735	0,1321	1
12 months	0,23594	0,1515	0,8994	0,26626	0,1336	0,6132

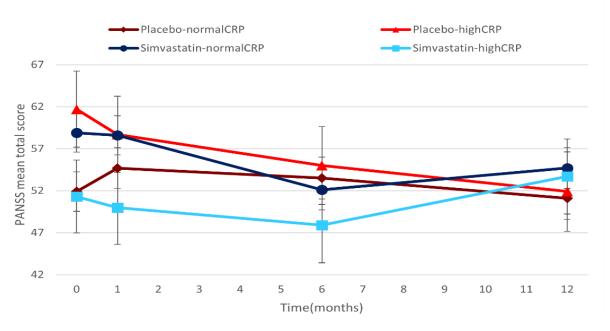
## Placebo high CRP vs normal CRP | Simvastatin high CRP vs low CRP

Table 3 Estimated mean change in different CRP clusters

Estimated mean difference in CRP in the CRP high vs CRP low groups for each timepoint within treatment conditions (simvastatin vs placebo). Means are estimated by a linear mixed model and displayed with standard errors.

#### Symptom severity

We used the total PANSS score to evaluate the symptom severity in the CRP cut-off groups. Figure 3 shows the estimated means of the total PANSS scores. The only significant effect was found in the snCRP group between 1-6 months of treatment (estimated mean difference = -6,50, P =0.01). A complete table of all the mean changes within groups are shown in the appendix (table 7). Furthermore, comparisons in both the simvastatin and the placebo group between high and low CRP status did not yield any significant results (data not shown).



#### Figure 3 Mean PANSS total scores for the different groups.

Mean symptom severity (PANSS total score) for the high and normal CRP clusters of both the placebo and simvastatin groups. Means are estimated by a linear mixed model and displayed with standard errors.

# DISCUSSION

This study aimed to explore the effect of simvastatin administration on inflammatory markers in SSD patients, as additional analyses to a previous RCT. In addition, we attempted to identify a high inflammation cluster group with cluster analyses and a CRP cut-off to examine the possible effects of simvastatin on symptom severity in a high inflammation cluster. Simvastatin showed a significant CRP-lowering effect compared with placebo, which remained constant over time (i.e. no time\*treatment effect). IL-6 failed to show any significant results. High inflammation clustering on IL-6, IL-8, IL-1RA and CRP did not result in suitable clusters. A subgroup consisting of patients with elevated CRP baseline levels (>3mg/L) showed a significant treatment\*time effect. Simvastatin normalized high CRP levels after 1 month of treatment and this effect persisted throughout the treatment period. In the placebo group, elevated levels of CRP remained significant after 1 and 6 months of treatment. After 12 months, this effect was normalized. A repeated measurement correlation found that LDL and CRP were not correlated. Regarding secondary outcomes, snCRP PANSS total scores lowered significant between 1 and 6 months of treatment. This is in line with previous analyses on this data by Sommer et al., which found a decline in the PANSS total score after 6 months of simvastatin treatment<sup>28</sup>. Next to this effect, no other changes were found in the total PANSS scores between or within different groups.

Previous trials found a reduction in CRP levels after statin treatment in patients with a prior history of myocardial infarction<sup>36</sup>, hyperlipidemia<sup>37</sup> or healthy peers<sup>38</sup>. However, to our knowledge, no trials exist which tested the effect of statins on CRP in SSD patients over time. We are the first study to investigate the levels of the pro-inflammatory biomarker CRP after simvastatin administration in a longitudinal study. Our results show that simvastatin administration induces a CRP lowering effect. No correlation between CRP and LDL was found. Although the beneficial effect of statins are mainly mediated by their lipid-lowering effects, studies showed that mechanism of statins may extend beyond their ability to lower cholesterol. Several trials argued that statins have anti-inflammatory effects independent of their lipid lowering capacities<sup>37,39,40</sup>. This is in line with our results and therefore, it can be suggested that simvastatin leads to a reduction of CRP independent of lipid lowering in SSD patients.

Remarkably, simvastatin augmentation had no effect on IL-6 levels. IL-6 induces the hepatic synthesis of CRP and they are therefore linked physiologically<sup>41</sup>. However, it is thought that in low-grade inflammation (as is often seen in schizophrenia patients) IL-6 and CRP are less influenced by each other compared to acute inflammation, due to different signalling routes of IL-6 and the different isoforms of CRP<sup>42</sup>. This may explain the discrepancy in simvastatin treatment effects on CRP and IL-6 reported in this study. CRP reduction was independent of lipid lowering and changes in IL-6 levels. A review article argued that statins induce reduction in CRP directly by inducing fractional catabolism of CRP<sup>41</sup>, which may explain this lowering effect of simvastatin.

Several studies suggest that low-grade inflammation is present in only a subgroup of patients<sup>8–10</sup>. Evaluation of patients with elevated CRP levels at baseline led to some interesting results. Simvastatin was able to normalize CRP concentrations after 1 month of augmentation. However, the reduction of CRP in the high CRP cluster did not translate into total PANSS score. Several explanations can be found for these findings. The first and most obvious explanation is that a reduction of CRP is not an effective method to improve symptom severity in SSD. This is in line with other trials, which evaluated the association between symptom severity in patients with elevated CRP levels and anti-inflammatory agents such as prednisolone<sup>46</sup> and minocycline<sup>47</sup>. Secondly, evaluating solely CRP may not be a suitable way to define inflammation, since it is modulated via complex mechanisms. Therefore, it is possible that a CRP cut-off does not result in representative high-inflammation groups. Identification of a cluster by using multiple biomarkers may be a more appropriate method for characterizing inflammation compared to a single biomarker cut-off.

However, creating a high inflammation cluster appeared challenging in this study. Failure of reaching the overall model quality threshold can have several causes. First, the number of biomarkers we used were possibly not optimal. For this study, we had 5 inflammatory markers available and 4 were used for analysis. Cluster analyses performed by prior trials included 15<sup>43</sup>, 20<sup>44</sup> or 798<sup>45</sup> markers. It is possible that a higher number of markers would result in a more suitable inflammation cluster. Second, the overall model quality can be manipulated by excluding patients with the least predictive power. We decided not to exclude more patients from analysis, because that would reduce our sample size and hereby the power of the model. For future research, when examining symptom severity in SSD patients, it may be interesting to create a high inflammation cluster including more markers and a greater sample size.

The sample size can definitely be considered a limitation in this study. Not only in the cluster analysis, but also in the high CRP cut-off groups, the sample sizes are considered small (N=11 and 14 for shCRP and phCRP, respectively). This results in little power of the findings we discussed. Next to these limitations, a few strengths of this study can be reported. First, to our knowledge, we are the first study to evaluate CRP levels in SSD patients after anti-inflammatory treatment in a longitudinal study. As mentioned before, although different trials exist which evaluated CRP after statin treatment over time in patients with a prior history of myocardial infarction, hyperlipidemia or healthy peers, no previous study examined this effect in SSD patients. Second, we used different methods for the creation of a high-inflammation subgroup. Due to the high complexity of the mechanisms behind inflammation, we considered the usage of several approaches highly important.

In conclusion, we demonstrated a reduction of the pro-inflammatory CRP after simvastatin treatment in SSD patients. This effect was more distinct in patients with elevated CRP at baseline, but was not associated with improvement of symptom severity. Future research may focus on the formation of a suitable high inflammation cluster and relate it to symptom severity.

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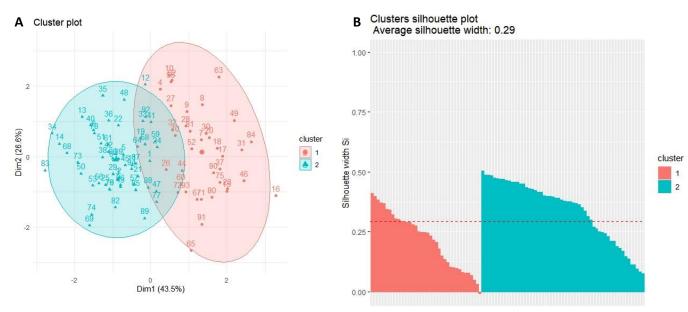
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# APPENDIX

Cluster size	IL6	CRP	IL1RA	IL8
38	0.8674962	0.8075048	0.6094499	0.2306975
56	-0.5886582	-0.5479497	-0.4135553	-0.1565447

 Table 4 Inflammation clusters

Clustering of the biomarkers IL-6, IL-1RA, IL-8 and CRP resulted in 2 clusters. Silhouette width = 0.29.



#### Figure 4 Cluster analysis

A) Representation for clusters 1 (N=38) and 2 (N=56). B) Silhouette width for the different clusters.

	PnCRP			PhCRP			SnCRP			ShCRP		
	Estimates	SE	Р									
Baseline	-0,09578	0,0653	0.000	0,53665	0,1215	0.000	-0,1266	0,0635	0.000	0,52259	0,1134	0.000
Change 0-1 months	0,09374	0,0667	0,9523	0,00253	0,1304	1	0,0496	0,0654	0,9999	-0,43552	0,1218	0,0108
Change 1-6 months	0,05229	0,0712	0,9999	0,05244	0,1395	1	0,08974	0,0692	0,9755	0,01302	0,1263	1
Change 6-12 months	0,09055	0,0752	0,9873	-0,21488	0,1496	0,9438	-0,0353	0,0727	1	0,14361	0,1249	0,9918

**Table 5** Changes in CRP concentrations within the different groups

Changes are with respect to the prior measurement. Means are estimated by a linear mixed effect model.

	Normal CRP	Elevated CRP
R	0.036	0.30
95% CI	-0.1251506 - 0.1960346	-0.04504091 - 0.5702414
P-value	0.66	0.07

 Table 6 Repeated measurement correlation between LDL and CRP

Repeated measurement correlation between baseline and 6 months of treatment

	PnCRP			PhCRP			SnCRP			ShCRP		
	Estimates	SE	Р									
Baseline	51,9	2,36	0.000	61,7	4,53	0.000	58,9	2,32	0.000	51,3	4,34	0.00
Change 0-1 months	2,856	1,77	0,8628	-3	3,45	0,9995	-0,289	1,71	1	-1,351	3,16	1
Change 1-6 months	-1,213	1,89	1	-3,756	3,57	0,9959	-6,503	1,81	0,01	-2,09	3,27	1
Change 6-12 months	-2,431	1,97	0,9817	-3,054	3,75	0,9997	2,592	1,93	0,9616	5,833	3,31	0,7674

**Table 7** Changes in PANSS total scores within the different groups

Changes are with respect to the prior measurement. Means are estimated by a linear mixed effect model.